## JUL 0 6 2005 11 COMPANY OFFICE

Serial No.:

10/707,184

Application of:

Kazlas, et al.

**Confirmation No.:** 

1183

Filed:

November 25, 2003

**Group Art Unit:** 

2823

**Examiner:** 

Nguyen, Khiem D.

**Attorney Docket No.:** 

H-360

**Customer No.:** 

26245

Cambridge, Massachusetts June 30, 2005

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying forms.

All of the references filed herewith are in the English language so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application, its corresponding international search report, and the Information Disclosure Statement of its parent (Application No. 09/836,884) have been replaced by their US equivalents or other convenient equivalents, as follows:

Document not supplied	Alternative supplied
EP 0 336 536A	US4954612
EP 0 344 367A1	US4850919
EP 0 345 064A1	US5148300
EP 0 361 420A2	US5119218
EP 0 363 030A2	US4947157
EP 0 525 852A1	US5689282
EP 0 575 475B1	US5223823
EP 0 811 648A1	US5854380
EP 1 024 540A2	US6373461
US20020019081	US6825068
US20020021270	US6816147
US20020053320	US6506438
US20020053900	US6512354
US20020063677	US6518949
US20020075556	US6788449
US20020106847	US6683333
US20020131147	US6866760
US20020145792	US6538801
US20020171910	US6870661
US20020180688	US6864875
US20020185378	US6822782
US20030011867	US6657772
US20030011868	US6753999
US20030025855	US6831769
US20030034949	US6842167
US20030038755	US6819471
US20030053189	US6825970

Document not supplied	Alternative supplied
US20030076573	US6704133
US20030096113	US6721083
US20030137717	US6839158
US20030141108	US6518513
US20030151702	US6900851
WO 00/03291	US2002-0113770
WO 00/03349	US6512354
WO 00/20921	US6262833
WO 00/20922	US6839158
WO 00/20923	US6376828
WO 00/26761	US2004/0119681
WO 00/36465	US6312304
WO 00/36666	US6506438
WO 00/38001	US6724519
WO 00/46854	US6281038
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WO 00/59625	US6377387
WO 00/60410	US6327072
WO 01/02899	US6392786
WO 01/08241	US6842657
WO 01/08242	US6413790
WO 01/17029	US6545291
WO 01/17040	US6312971
WO 01/17041	US6498114
WO 01/27690	US6870657
WO 02/01281	US6672921
WO 02/079869	US2002-0180687

Document not supplied	Alternative supplied
WO 93/02443	US5254981
WO 93/05425	US5276438
WO 93/07608	US5174882
WO 95/05622	US5411656
WO 95/07527	US5627561
WO 97/04398	US6124851
WO 98/03896	US6120588
WO 98/19208	US5930026
WO 98/41898	US6480182
WO 98/41899	US5961804
WO 99/10767	US6067185
WO 99/10768	US6177921
WO 99/10769	US6118426
WO 99/40631	US6403396
WO 99/44229	US6432739
WO 99/45416	US6343164
WO 99/45582	US6493396
WO 99/47970	US6445489
WO 99/53371	US6518949
WO 99/53373	US6864875
WO 99/56171	US6130774
WO 99/59101	US6473072
WO 99/65011	US6373454
WO 99/65012	US6359605
WO 99/67678	EP1145072B1

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Furthermore, only copies of References B1, B12, C1-C3, C5-C6, C8, C10, C12, C15-C21, C24, C27, C31, C35-C36, and C38 are being filed herewith since the remaining foreign patents and non-patent references are readily available to the Examiner in the file of the parent Application Serial No. 09/836,884.

Since this Information Disclosure Statement is being filed after the issue of an Office Action in this case, but before issue of a Notice of Allowance, the fee prescribed by 37 CFR 1.17(p) is payable and an appropriate Fee Transmittal (PTO/SB/17) is filed herewith.

Respectfully submitted,

David J. Cole Registration No. 29629

and 5-Cl

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DJC/grw

Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet: 17

Complete if Known			
Application Number	10/707,184		
Filing Date November 25, 2003			
First Named Inventor	Kazlas		
Art Unit 2823			
Examiner Name Nguyen, Khiem D.			
Attorney Docket No. H-360			

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A1	D485294	01/13/2004	Albert	
	A2	20050122565	06/09/2005	Doshi et al.	
	A3	20050122564	06/09/2005	Zehner et al.	
	A4	20050122563	06/09/2005	Honeyman et al.	
	A5	20050122306	06/09/2005	Wilcox et al.	
	A6	20050122284	06/09/2005	Gates et al.	
	A7	20050105162	05/19/2005	Paolini, Jr. et al.	
	A8	20050105159	05/19/2005	Paolini, Jr. et al.	
	A9	20050099672	05/12/2005	Jacobson et al.	
	A10	20050078099	04/14/2005	Amundson et al.	
	A11	20050067656	03/31/2005	Denis et al.	<del>'</del>
	A12	20050062714	03/24/2005	Zehner et al.	
	A13	20050041004	02/24/2005	Gates et al.	
	A14	20050035941	02/17/2005	Albert et al.	
	A15	20050024353	02/03/2005	Amundson et al.	
	A16	20050018273	01/27/2005	Honeyman et al.	<del>_</del>
	A17	20050017944	01/27/2005	Albert	
· · · · · · · · · · · · · · · · · · ·	A18	20050012980	01/20/2005	Wilcox et al.	
	A19	20050007653	01/13/2005	Honeyman et al.	
	A20	20050007336	01/13/2005	Albert et al.	
	A21	20050001812	01/06/2005	Amundson et al.	
	A22	20050000813	01/06/2005	Pullen et al.	
	A23	20040263947	12/30/2004	Drzaic et al.	
<del></del>	A24	20040257635	12/23/2004	Paolini, Jr. et al.	
	A25	20040252360	12/16/2004	Webber et al.	
	A26	20040239614	12/02/2004	Amundson et al.	
	A27	20040233509	11/25/2004	Zhang et al.	
	A28	20040226820	11/18/2004	Webber et al.	
	A29	20040196215	10/07/2004	Duthaler et al.	
	A30	20040190114	09/30/2004	Jacobson et al.	

Examiner			
Signature	Dat	te Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form

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\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO Complete if Known 10/707,184 Application Number Filing Date November 25, 2003 INFORMATION DISCLOSURE First Named Inventor Kazlas STATEMENT BY APPLICANT 2823 Art Unit (Using as many sheets as necessary) Nguyen, Khiem D. Examiner Name H-360

Attorney Docket No.

17

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A31	20040180476	09/16/2004	Kazlas et al.		
	A32	20040155857	08/12/2004	Duthaler et al.		
	A33	20040136048	07/15/2004	Arango et al.		
	A34	20040119681	06/24/2004	Albert et al.		
	A35	20040112750	06/17/2004	Jacobson et al.		
	A36	20040105036	06/03/2004	Danner et al.	***	
	A37	20040094422	05/20/2004	Pullen et al.		
	A38	20040075634	04/22/2004	Gates		
	A39	20040027327	02/12/2004	LeCain et al.		
	A40	20040014265	01/22/2004	Kazlas et al.		
	A41	20040012839	01/22/2004	Cao et al.		
	A42	20030222315	12/04/2003	Amundson et al.		
	A43	20030214695	11/20/2003	Abramson et al.	· · · · · · · · · · · · · · · · · · ·	
	A44	20030137521	07/24/2003	Zehner et al.		
	A45	20030132908	07/17/2003	Herb et al.	<u> </u>	
	A46	20030102858	06/05/2003	Jacobson et al.		
	A47	20030020844	01/30/2003	Albert et al.		
	A48	20030011560	01/16/2003	Albert et al.		
	A49	20020180687	12/05/2002	Webber		
	A50	20020130832	09/19/2002	Baucom et al.		
	A51	20020113770	08/22/2002	Jacobson et al.		
	A52	20020090980	07/11/2002	Wilcox et al.		
	A53	20020063661	05/30/2002	Comiskey et al.		
	A54	20020060321	05/23/2002	Kazlas et al.		
	A55	6900851	05/31/2005	Morrison et al.		
	A56	6870661	03/22/2005	Pullen et al.		
	A57	6870657	03/22/2005	Fitzmaurice et al.		
	A58	6866760	03/15/2005	Paolini, Jr. et al.		
	A59	6865010	03/08/2005	Duthaler et al.		
	A60	6864875	03/08/2005	Drzaic et a.		

Examiner	
Signature	Date Considered

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Substitute for form 1449/PTO				Con	nplete if Known
				Application Number	10/707,184
INFORMATION DISCLOSURE		Filing Date	November 25, 2003		
		First Named Inventor	Kazlas		
5			BY APPLICANT	Art Unit	2823
	(Using	as many sh	eets as necessary)	Examiner Name	Nguyen, Khiem D.
Sheet:	3	of	17	Attorney Docket No.	H-360

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A61_	6842657	01/11/2005	Drzaic et al.	
	A62	6842279	01/11/2005	Amundson	
	A63	6842167	01/11/2005	Albert et al.	
	A64	6839158	01/04/2005	Albert et al.	
	A65	6831769	12/14/2004	Holman et al.	
	A66	6825970	11/30/2004	Goenaga et al.	
	A67	6825829	11/30/2004	Albert et al.	
	A68	6825068	11/30/2004	Denis et al.	
	A69	6822782	11/23/2004	Honeyman et al.	
	A70	6819471	11/16/2004	Amundson et al.	
	A71	6816147	11/09/2004	Albert	
	A72	6788449	09/07/2004	Liang et al.	
	A73	6753999	06/22/2004	Zehner et al.	
	A74	6750473	06/15/2004	Amundson et al.	
	A75	6738050	05/18/2004	Comiskey et al.	
	A76	6727881	04/27/2004	Albert et al.	
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	A78	6721083	04/13/2004	Jacobson et al.	
	A79	6710540	03/23/2004	Albert et al.	
	A80	6704133	03/09/2004	Gates et al.	
	A81	6693620	02/17/2004	Herb et al.	
	A82	6683333	01/27/2004	Kazlas et al.	
	A83	6680725	01/20/2004	Jacobson	· · · · · · · · · · · · · · · · · · ·
	A84	6672921	01/06/2004	Liang et al.	
	A85	6664944	12/16/2003	Albert et al.	· · · · · · · · · · · · · · · · · · ·
	A86	6657772	12/02/2003	Loxley	
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	A88	6639578	10/28/2003	Comiskey et al.	·
	A89	6583780	06/24/2003	Kawai	
	A90	6580545	06/17/2003	Morrison et al.	

Examiner	
Signature	Date Considered

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## Substitute for form 1449/PTO Complete if Known 10/707,184 Application Number Filing Date November 25, 2003 **INFORMATION DISCLOSURE** First Named Inventor Kazlas STATEMENT BY APPLICANT 2823 Art Unit (Using as many sheets as necessary) Nguyen, Khiem D. **Examiner Name** Sheet: H-360 Attorney Docket No.

U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A91	6545291	04/08/2003	Amundson et al.		
	A92	6538801	03/25/2003	Jacobson et al.		
	A93	6535197	03/18/2003	Comiskey et al.		
	A94	6531997	03/11/2003	Gates et al.	· · · · · · · · · · · · · · · · · · ·	
	A95	6521489	02/18/2003	Duthaler et al.		
	A96	6518949	02/11/2003	Drzaic		
	A97	6518513	02/11/2003	Enomoto et al.		
	A98	6515649	02/04/2003	Albert et al.		
	A99	6512354	01/28/2003	Jacobson et al.		
	A100	6506438	01/14/2003	Duthaler et al.		
	A101	6504524	01/07/2003	Gates et al.		
	A102	6498114	12/24/2002	Amundson et al.		
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	A104	6483123	11/19/2002	Kim et al.	· · · · · · · · · · · · · · · · · · ·	
	A105	6480182	11/12/2002	Turner et al.		
	A106	6473072	10/29/2002	Comiskey et al.		
	A107	6461901	10/08/2002	Noguchi		
	A108	6459418	10/01/2002	Comiskey et al.		
	A109	6445489	09/03/2002	Jacobson et al.		
	A110	6445374	09/03/2002	Albert et al.		
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	A112	6422687	07/23/2002	Jacobson		
	A113	6413790	07/02/2002	Duthaler et al.	·	
	A114	6403396	06/11/2002	Gudesen et al.		
_	A115	6392786	05/21/2002	Albert		
	A116	6392785	05/21/2002	Albert et al.		
	A117	6377387	04/23/2002	Duthaler et al.	·	
	A118	6376828	04/23/2002	Comiskey		
	A119	6373461	04/16/2002	Hasegawa et al.		
	A120	6373454	04/16/2002	Knapp et al.		

Examiner	
Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>quot;Applicant's unique citation designation number (optional). "2Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO	Con	nplete if Known
	Application Number	10/707,184
INFORMATION DISCLOSURE	Filing Date	November 25, 2003
	First Named Inventor	Kazlas
STATEMENT BY APPLICANT	Art Unit	2823
(Using as many sheets as necessary)	Examiner Name	Nguyen, Khiem D.
Sheet: 5 of 17	Attorney Docket No.	H-360

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A121	6359605	03/19/2002	Knapp et al.		
	A122	6356332	03/12/2002	Ichikawa et al.		
	A123	6343164	01/29/2002	Robertsson et al.	-	
	A124	6327072	12/04/2001	Comiskey et al.		
	A125	6323989	11/27/2001	Jacobson et al.		
	A126	6323034	11/27/2001	Chen et al.		
	A127	6312971	11/06/2001	Amundson et al.	**************************************	
	A128	6312304	11/06/2001	Duthaler et al.		
	A129	6301038	10/09/2001	Fitzmaurice et al.		
	A130	6300932	10/09/2001	Albert		
	A131	6281038	08/28/2001	Jacobsen et al.		
	A132	6271823	08/07/2001	Gordon , Il et al.	<u>"</u>	
	A133	6262833	07/17/2001	Loxley et al.		
	A134	6262706	07/17/2001	Albert et al.		
	A135	6252564	06/26/2001	Albert et al.		
	A136	6249271	06/19/2001	Albert et al.		
	A137	6241921	06/05/2001	Jacobson et al.		
	A138	6239896	05/29/2001	lkeda		
	A139	6232950	05/15/2001	Albert et al.		
	A140	6225971	05/01/2001	Gordon , II et al.		
	A141	6219253	04/17/2001	Green		
	A142	6184856	02/06/2001	Gordon , II et al.	·	
	A143	6177921	01/23/2001	Comiskey et al.	· · · · · · · · · · · · · · · · · · ·	
	A144	6172798	01/09/2001	Albert et al.	·	
	A145	6147791	11/14/2000	Sheridon		
	A146	6144361	11/07/2000	Gordon , II et al.		
	A147	6137467	10/24/2000	Sheridon et al.		
	A148	6130774	10/10/2000	Albert et al.	1. T.	
	A149	6130773	10/10/2000	Jacobson et al.	— val	
	A150	6128124	10/03/2000	Silverman	<del> </del>	

Examiner	
Signature D.	ate Considered

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Substitute for	Substitute for form 1449/PTO			Con	nplete if Known
				Application Number	10/707,184
INFORMATION DISCLOSURE				Filing Date	November 25, 2003
	-			First Named Inventor	Kazlas
5	STATEMENT BY APPLICANT		Art Unit	2823	
	(Using	as many sh	eets as necessary)	Examiner Name	Nguyen, Khiem D.
Sheet:	6	of	17	Attorney Docket No.	H-360

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1 Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A151	6124851	09/26/2000	Jacobson		
	A152	6120839	09/19/2000	Comiskey et al.		
	A153	6120588	09/19/2000	Jacobson		
	A154	6118426	09/12/2000	Albert et al.		
	A155	6097531	08/01/2000	Sheridon		
	A156	6091382	7/18/00	Shioya et al.		
	A157	6088319	7/11/00	Gudesen		
	A158	6084850	7/4/00	Gudesen et al.		
	A159	6067185	05/23/2000	Albert et al.		
	A160	6064091	05/16/2000	Deane et al.	1	
	A161	6055180	04/25/2000	Gudesen et al.		
	A162	6055091	04/25/2000	Sheridon et al.		
	A163	6054071	04/25/2000	Mikkelsen, Jr.		
	A164	6052354	4/18/00	Gudesen et al.		
	A165	6045955	04/04/2000	Vincent		
	A166	6027958	02/22/2000	Vu et al.		
	A167	6017584	01/25/2000	Albert et al.		
	A168	6013335	1/11/00	Hirata et al.		
	A169	6005817	12/21/99	Gudesen et al.		
	A170	6005791	12/21/99	Gudesen et al.		
	A171	5980763	11/09/1999	Young		
	A172	5972493	10/26/99	lwasaki et al.	<del></del>	
	A173	5969376	10/19/99	Bao		
	A174	5961804	10/05/1999	Jacobson et al.		
	A175	5936259	8/10/99	Katz et al.		
	A176	5930026	07/27/1999	Jacobson et al.		
	A177	5904545	05/18/1999	Smith et al.		
	A178	5898416	04/27/1999	Kuijk et al.	<del>.</del>	
	A179	5894367	4/13/99	Sheridon		
	A180	5892504	04/06/1999	Knapp		

Examiner	
Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form

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Substitute for form 1449/PTO Complete if Known 10/707,184 Application Number Filing Date November 25, 2003 **INFORMATION DISCLOSURE** Kazlas First Named Inventor STATEMENT BY APPLICANT 2823 Art Unit (Using as many sheets as necessary) Nguyen, Khiem D. Examiner Name Sheet: 17 H-360 Attorney Docket No.

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A181	5892244	4/6/99	Tanaka et al.		
	A182	5872552	02/16/1999	Gordon , II et al.		
	A183	5854380	12/29/98	Seto et al.		
	A184	5821137	10/13/98	Wakai et al.		
	A185	5808783	09/15/1998	Crowley		
	A186	5786875	7/28/98	Brader et al.		
	A187	5783856	07/21/1998	Smith et al.		
	A188	5777782	07/07/1998	Sheridon		
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	A190	5745094	04/28/1998	Gordon , II et al.		
	A191	5744283	04/28/1998	Spierings et al.		
	A192	5738977	04/14/1998	Van Der Sluis-Van Der Voort et al.		
	A193	5737115	04/07/1998	Mackinlay et al.		
	A194	5731116	3/24/98	Matsuo et al.		
	A195	5725935	3/10/98	Rajan		
	A196	5718996	2/17/98	lijima et al.		
	A197	5717514	02/10/1998	Sheridon		
	A198	5709976	1/20/98	Malhotra		
	A199	5707738	01/13/1998	Hou		
	A200	5705424	1/6/98	Zavracky et al.		
	A201	5689282	11/18/1997	Wolfs et al.		
	A202	5688584	11/18/97	Casson et al.	<del></del>	
	A203	5684501	11/04/1997	Knapp et al.		
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	A205	5650199	7/22/97	Chang et al.		
	A206	5627561	05/06/1997	Laspina et al.		
	A207	5625199	4/29/97	Baumbach et al.		
	A208	5623585	4/22/97	Matias et al.		
	A209	5614340	3/25/97	Bugner et al.		
	A210	5583675	12/10/96	Yamada et al.		

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Substitute for	Substitute for form 1449/PTO			Con	Complete if Known		
		Application Number	10/707,184				
INFORMATION DISCLOSURE				Filing Date	November 25, 2003		
1				First Named Inventor	Kazlas		
STATEMENT BY APPLICANT			BY APPLICANT	Art Unit	2823		
	(Using	as many sh	eets as necessary)	Examiner Name	Nguyen, Khiem D.		
Sheet:	8	of	17	Attorney Docket No.	H-360		

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A211	5575554	11/19/1996	Guritz		
	A212	5571311	11/5/96	Belmont et al.		
	A213	5569610	10/29/1996	Zhang et al.		
	A214	5565885	10/15/96	Tamanoi		
	A215	5561539	10/01/1996	Funahata et al.		
	A216	5545291	8/13/96	Smith et al.		
	A217	5543589	8/6/96	Buchana et al.		
	A218	5508720	04/16/1996	DiSanto et al.		
	A219	5500537	3/19/96	Tsumura et al.		
	A220	5467107	11/14/1995	DiSanto et al.		
	A221	5463492	10/31/1995	Check III		
	A222	5463491	10/31/1995	Check III		
	A223	5460688	10/24/95	DiSanto et al.		
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	A225	5411656	05/02/1995	Schubert		
	A226	5403772	04/04/1995	Zhang et al.		
	A227	5402145	3/28/95	DiSanto et al.		
	A228	5389945	02/14/1995	Sheridon		
	A229	5383008	01/17/1995	Sheridon		
	A230	5362671	11/08/1994	Zavracky		
	A231	5345251	9/6/94	DiSanto et al.		
	A232	5315312	05/24/1994	DiSanto et al.		
	A233	5304439	4/19/94	DiSanto et al.		
	A234	5303073	4/12/94	Shirota et al.		
	A235	5302235	04/12/1994	DiSanto et al.		
	A236	5296974	3/22/94	Tada et al.		
	A237	5293528	03/08/1994	DiSanto et al.		
	A238	5279694	1/18/94	DiSanto et al.		
	A239	5276438	01/04/1994	DiSanto et al.		
	A240	5270843	12/14/1993	Wang		

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Substitute for form 1449/PTO Complete if Known 10/707,184 Application Number November 25, 2003 Filing Date **INFORMATION DISCLOSURE** Kazlas First Named Inventor STATEMENT BY APPLICANT 2823 Art Unit (Using as many sheets as necessary) Nguyen, Khiem D. Examiner Name Sheet: H-360 of 17 Attorney Docket No.

			U.S. Patent	Documents	· · · ·
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A241	5266937	11/30/1993	DiSanto et al.	
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	A243	5250938	10/5/93	DiSanto et al.	
	A244	5247290	09/21/1993	DiSanto et al.	
	A245	5234541	08/10/1993	Shannon et al.	
	A246	5223823	6/29/93	DiSanto et al.	
	A247	5223115	06/29/1993	DiSanto et al.	
	A248	5220316	6/15/93	Kazan	
	A249	5216416	06/01/1993	DiSanto et al.	
	A250	5206749	04/27/1993	Zavracky	
	A251	5194852	3/16/93	More et al.	
	A252	5187609	02/16/1993	DiSanto et al.	
	A253	5175047	12/29/1992	McKenney et al.	
	A254	5175020	12/29/1992	Doellein et al.	
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	A257	5148300	9/15/92	Mizushima et al.	
	A258	5128785	7/7/92	Yoshimoto et al.	
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	A260	5119218	6/2/92	Yoshimoto et al.	
	A261	5105185	04/14/1992	Nakanowatari et al.	
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	A264	5066946	11/19/1991	DiSanto et al.	···
	A265	5066105	11/19/91	Yoshimoto et al.	
	A266	5053763	10/01/1991	DiSanto et al.	
·	A267	5041824	8/20/91	DiSanto et al.	
	A268	5028841	07/02/1991	DiSanto et al.	
	A269	5009490	4/23/91	Kuono et al.	
	A270	5008590	4/16/91	Huisman et al.	

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Substitute for form 1449/PTO Complete if Known Application Number 10/707,184 November 25, 2003 Filing Date **INFORMATION DISCLOSURE** First Named Inventor Kazlas STATEMENT BY APPLICANT Art Unit 2823 (Using as many sheets as necessary) Nguyen, Khiem D. Examiner Name Sheet: 17 H-360 Attorney Docket No.

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A271	4954612	09/04/1990	Nomura et al.	
	A272	4954612	9/4/90	Nomura et al.	
	A273	4947159	08/07/1990	DiSanto et al.	
	A274	4947157	08/07/1990	DiSanto et al.	
	A275	4931019	6/5/90	Park	<del> </del>
	A276	4892607	01/09/1990	DiSanto et al.	<u> </u>
	A277	4883561	11/28/89	Gmitter et al.	
	A278	4850919	7/25/89	DiSanto et al.	
	A279	4833464	05/23/1989	DiSanto et al.	<u> </u>
	A280	4828967	5/9/89	Mase et al.	
	A281	4776675	10/11/1988	Takaochi et al.	
	A282	4758875	7/19/88	Fujisaki et al.	
	A283	4746917	05/24/1988	DiSanto et al.	
	A284	4742345	5/3/88	DiSanto et al.	
	A285	4741604	05/03/1988	Kornfeld	
	A286	4686524	8/11/87	White	
	A287	4655897	4/7/87	DiSanto et al.	
	A288	4648956	3/10/87	Marshall et al.	
	A289	4643528	02/17/1987	Bell, Jr.	
	A290	4598960	7/8/86	DiSanto et al.	
	A291	4543306	9/24/85	Dubois et al.	
	A292	4522472	6/11/85	Liebert et al.	
	A293	4502934	03/05/1985	Gazard et al.	
	A294	4450440	05/22/1984	White	
-	A295	4439507	3/27/84	Pan et al.	
	A296	4430648	2/7/84	Togashi et al.	
	A297	4418346	11/29/1983	Batchelder	
	A298	4390403	06/28/1983	Batchelder	
	A299	4324456	04/13/1982	Dalisa	
	A300	4311361	01/19/1982	Somlyody	

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	Application Number	10/707,184
INFORMATION DISCLOSURE	Filing Date	November 25, 2003
	First Named Inventor	Kazlas
STATEMENT BY APPLICANT	Art Unit	2823
(Using as many sheets as necessary)	Examiner Name	Nguyen, Khiem D.
Sheet: 11 of: 17	Attorney Docket No.	H-360

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A301	4305807	12/15/1981	Somlyody	
	A302	4273672	6/16/81	Vassiliades	·
	A303	4261653	04/14/1981	Goodrich	
	A304	4218302	8/19/80	Dalisa et al.	
	A305	4203106	5/13/80	Dalisa et al.	
	A306	4149149	4/10/79	Miki et al.	
	A307	4123346	10/31/78	Ploix	·
	A308	4088395	05/09/1978	Giglia	
	A309	4071430	1/31/78	Liebert	
	A310	4068927	1/17/78	White	
	A311	4045327	8/30/77	Noma et al.	
	A312	4041481	08/09/1977	Sato	
	A313	4001140	1/4/77	Foris et al.	
	A314	3972040	7/76	Hilsum et al.	
	A315	3892568	07/01/1975	Ota	
	A316	3870517	03/11/1975	Ota et al.	
	A317	3850627	11/74	Wells et al.	
	A318	3806893	4/74	Ohnishi et al.	
	A319	3792308	02/12/1974	Ota	
	A320	3767392	10/23/1973	Ota	
	A321	3756693	09/14/1973	Ota	
	A322	3745070	7/73	Yada et al.	
	A323	3670323	06/13/1972	Sobel et al.	
	A324	3668106	06/06/1972	Ota	
	A325	3612758	10/71	Evans et al.	
	A326	3384488	05/21/1968	Tulagin et al.	
	A327	3036388	05/29/1962	Tate	
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Substitute for	or form 1449	PTO		Comple	te if Known
				Application Number	10/707,184
INFORMATION DISCLOSURE		Filing Date	November 25, 2003		
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1	SIAII	EMEN	Γ BY APPLICANT	Art Unit	2823
	(U:	sing as man	y sheets as necessary)	Examiner Name	Nguyen, Khiem D.
Sheet:	12	of:	17	Attorney Docket No.	H-360

	Foreign Patent Documents							
Examiner Initials*	Cite No.	Соц	Document Number intry Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T <sup>2</sup>	
	B1	ΕP	1 145 072B1	05/07/2003	E Ink Corporation			
	B2	EP	0 924 551A1	6/23/99	Technology Partnership			
	вз	EP	0 709 713A2	5/1/96	Fujikura			
	B4	EP	0 618 715A1	10/05/1994	Ace Denken			
	B5	EP	0 443 571A2	8/28/91	Ube			
	B6	EP	0 404 545A2	12/27/1990	Japan Synthetic Rubber			
	B7	GB	2 306 229A	4/30/97	IBM			
	B8	GB	2 149 548A	6/12/85	Philp			
	В9	GB	2 098 800A	11/24/1982	Hitachi			
	B10	JP	63-084089	04/14/1988	Sumitomo Bakelite			
	B11	JP	62-058222A	3/13/87	Ricoh			
	B12	JP	2001-022301	1/36/01	Canon			
	B13	JP	11-202804A	7/30/99	Canon			
	B14	JP	05-061421A	3/12/93	Nippon Mektron			
	B15	PCT	WO 99/63527	12/9/99	Opticom			
	B16	PCT	WO 99/20682	4/29/99	Bekaert			
	B17	РСТ	WO 95/10107	4/13/95	Copytele			
	B18	PCT	WO 95/06307	3/2/95	Copytele			
	B19	РСТ	WO 01/07961	02/01/2001	E Ink Corporation			
	B20	PCT	WO 00/67327	11/09/2000	E Ink Corporation			
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Substitute fo	Substitute for form 1449/PTO	Complete if Known			
		Application Number	10/707,184		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Using as many sheets as necessary)  Sheet: 13 of: 17	Filing Date	November 25, 2003		
	First Named Inventor	Kazlas			
				Art Unit	2823
STATEMENT BY APPLICANT (Using as many sheets as necessary)	y sheets as necessary)	Examiner Name	Nguyen, Khiem D.		
Sheet:	13	of:	17	Attorney Docket No.	H-360

			oreign Patent D	ocuments		_
Examiner Initials*	Cite No.	Decument Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T"
	B21	PCT WO 00/67110	11/09/2000	E Ink Corporation		
	B22	PCT WO 00/38000	06/29/2000	E Ink Corporation		
	B23	PCT WO 00/36560	06/22/2000	E Ink Corporation		
	B24	PCT WO 00/22051	4/20/00	Cabot		
	B25	PCT WO 00/05704	02/03/2000	E Ink Corporation		
	B26	PCT WO 00/05312	2/3/00	Cabot		
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INFORMATION DISCLOSURE				First Named Inventor	Kazlas
STA	ATEMEN	IT BY A	APPLICANT	Art Unit	2823
	(Using as ma	any sheets a	s necessary)	Examiner Name	Nguyen, Khiem D.
Sheet:	14	of:	17	Attorney Docket No.	H-360

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Examiner Initials*	Cite No. *1	Document Citation	τ*2			
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)				
	C2	Au, J. et al., "Ultra-Thin 3.1-in. Active-Matrix Electronic Ink Display for Mobile Devices", IDW'02, 223 (2002)				
	C3	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)				
	C4	Bohnke et al., "Polymer-Based Solid Electrochromic Cell for Matrix-Addressable Display Devices." J. Electrochem. Soc., 138, 3612 (1991)				
	C5	Caillot, E. et al. "Active Matrix Electrophoretic Information Display for High Performance Mobile Devices", IDMC Proceedings (2003)				
	C6	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)				
	C7	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)				
	C8	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75				
	C9	Dalisa, A.L., "Electrophoretic Display Technology", IEEE Trans. Electron Dev., ED-24, 827 (1977)				
	C10	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)				

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ST	ATEMEN	NT BY A	PPLICANT	Art Unit	2823	
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NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	T*2			
	C11	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131				
	C12	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)				
	C13	Gleskova et al., IEEE Electron Device Letters, 20(9), 473 (1999)				
	C14	Gutcho, M.H., "Microcapsules and Microencapsulation Techniques, Noyes Data Corp., Park Ridge NJ, (1976)				
	C15	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)				
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	C17	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)				
	C18	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)				
	C19	Kazlas, P. et al., "Card-size Active-matrix Electronic Ink Display", Eurodisplay 2002, 259 (2002)				
	C20	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)				

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				Application Number	10/707,184
INE	OPMAT	ION DIS	SCI OSLIDE	Filing Date	November 25, 2003
INFORMATION DISCLOSURE				First Named Inventor	Kazlas
STA	ATEMEN	IT BY A	APPLICANT	Art Unit	2823
	(Using as ma	any sheets as	s necessary)	Examiner Name	Nguyen, Khiem D.
Sheet:	16	of:	17	Attorney Docket No.	H-360

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	Τ <sup>2</sup>			
		Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia				
	C21	Display/IDW '01, page 1517, Paper HCS1-1 (2001)	Н			
	C22	Kornfeld, A Defect-Tolerant Active-Matrix Electrophoretic Display, SID Digest, 1984, page 142				
	C23	Ma et al., Applied Physics Letters, 74(18), 2661 (1999))				
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	C25	Moesner, F.M., et al., "Devices for Particle Handling by an AC Electric Field", IEEE, 1995, page 66				
	C26	Nakamura, E., et al., "Development of Electrophoretic Display Using Microcapsulated Suspension," SID 98 Digest (1998), page 1014				
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	C28	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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			Application Number	10/707,184	
INE	ODMATIC	יום ואר	SCLOSURE	Filing Date	November 25, 2003
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NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	T*2			
·	C31	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)				
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	C33	Shiffman, R.R., et al., "An Electrophoretic Image Display with Internal NMOS Address Logic and Display Drivers," Proceedings of the SID, 1984, vol. 25, 105 (1984)				
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	C36	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)				
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